

PTO/SB/08A(10-01)
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
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PATENT & TRADEMARK OFFICE

Complete if Known	
Application Number	09/668,610
Filing Date	September 22, 2000
First Named Inventor	Ellison, Carl
Group Art Unit	Unknown
Examiner Name	Unknown

Sheet 1 of 2

Attorney Docket No: 42P08104X

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
KA ✓	EP-EP0892521	01/20/1999	Zamek, Steven			
MA ✓	EP-EP1055989	11/29/2000	Proudlar, Graeme J., et al.			
KA ✓	EP-EP1056014	11/29/2000	Proudlar, Graeme J., et al.			
KA ✓	WO-WO0201794	01/03/2002	Ellison, Carl			
KA ✓	WO-WO02060121	08/01/2002	Grawrock, David W.			
KA ✓	WO-WO03058412	07/17/2003	Glew, Andrew, et al.			
KA ✓	WO-WO9812620	03/26/1998	Nishiuchi, Taiki, et al.			
KA ✓	WO-WO9918511	04/15/1999	Edrich, David R.			

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OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
KA	✓	COULOURIS, GEORGE, et al., "Distributed Systems, Concepts and Designs", 2nd Edition, (1994), 422-424	
KA	✓	CRAWFORD, JOHN, "Architecture of the Intel 80386", <u>Proceedings of the IEEE International Conference on Computer Design: VLSI in Computers and Processors (ICCD '86)</u> , (October 6, 1986), 155-160	
MA	✓	FABRY, R.S., "Capability-Based Addressing", Fabry, R.S., "Capability-Based Addressing," <u>Communications of the ACM</u> , Vol. 17, No. 7, (July 1974), 403-412	
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KA	✓	INTEL CORPORATION, "Intel IA-64 Architecture Software Developer's Manual", <u>Volume 2: IA-64 System Architecture</u> , Order Number 245318-001, (01/2000), i, ii, 5.1-5.3, 11.1-11.8, 11.23-11.26	
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KA	✓	RSA SECURITY, "Hardware Authenticators", <u>www.rsasecurity.com/node.asp?id=1158</u> , 1-2	
KA	✓	RSA SECURITY, "RSA SecurID Authenticators", <u>www.rsasecurity.com/products/securid/datasheets/SID_DS_0103.pdf</u> , 1-2	
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Sheet 2 of 2

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KA	✓	SCHNEIER, BRUCE , "Applied Cryptography: Protocols, Algorithm, and Source Code in C", Wiley, John & Sons, Inc., XP002939871; ISBN 0471117099,(Oct. 1995),47-52	✓
KA	✓	SCHNEIER, BRUCE , "Applied Cryptography: Protocols, Algorithm, and Source Code in C", Wiley, John & Sons, Inc., XP002138607; ISBN 0471117099,(Oct. 1995),56-65	✓
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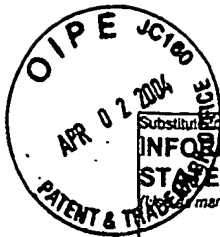
Ronald Chhabra

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PTO/SB/08A (10-97)
Approved for use through 10/15/2002. OMB 051-0031
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Sheet 1 of 1

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US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
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FOREIGN PATENT DOCUMENTS

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OTHER DOCUMENTS – NON PATENT LITERATURE DOCUMENTS

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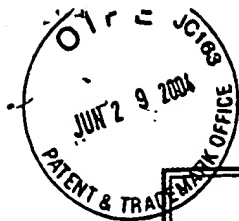
David Christensen

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Substitute Disclosure Statement Form (PTO-1449)

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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	Platform and Method for Generating and Utilizing a Protected Audit Log																																																																																																									
<p>Application Number: 09/668610</p> <p>Confirmation Number: 2283</p> <p>First Named Applicant: Carl Ellison</p> <p>Attorney Docket Number: 42P08104X</p> <p>Art Unit: Unknown</p> <p>Examiner: Unknown Unknown</p> <p>Search string: (3699532 or 4207609 or 4319233 or 4403283 or 4419724 or 5237616 or 5287363 or 5560013 or 5604805 or 5633929 or 5668971 or 5684948 or 5706469 or 5740178 or 5752046 or 5809546 or 5825880 or 5919257 or 5935242 or 5935247 or 6035374 or 6093213 or 6108644 or 6131166 or 6199152 or 6397379 or 6529909 or 6560627 or 6609199 or 6651171 or 6684326).pn.</p> <p style="text-align: right;">RECEIVED JUL 02 2004 Technology Center 2100</p>																																																																																																										
<h3>US Patent Documents</h3> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td>KA</td><td>1</td><td>3699532</td><td>1972-10-17</td><td>Schaffer, Harry G., et al.</td><td></td><td></td><td></td></tr><tr><td>KA</td><td>2</td><td>4207609</td><td>1980-06-10</td><td>Luiz, Fernando A., et al.</td><td></td><td></td><td></td></tr><tr><td>KA</td><td>3</td><td>4319233</td><td>1982-03-09</td><td>Matsuoka, Michihiro , et al.</td><td></td><td></td><td></td></tr><tr><td>KA</td><td>4</td><td>4403283</td><td>1983-09-06</td><td>Myntti, Jon N., et al.</td><td></td><td></td><td></td></tr><tr><td>KA</td><td>5</td><td>4419724</td><td>1983-12-06</td><td>Branigin, Michael H., et al.</td><td></td><td></td><td></td></tr><tr><td>KA</td><td>6</td><td>5237616</td><td>1993-08-17</td><td>Abraham, Dennis G., et al.</td><td></td><td></td><td></td></tr><tr><td>KA</td><td>7</td><td>5287363</td><td>1994-02-15</td><td>Wolf, Paul I., et al.</td><td></td><td></td><td></td></tr><tr><td>KA</td><td>8</td><td>5560013</td><td>1996-09-24</td><td>Scalzi, Casper A., et al.</td><td></td><td></td><td></td></tr><tr><td>KA</td><td>9</td><td>5604805</td><td>1997-02-18</td><td>Brands, Stefanus A.</td><td></td><td></td><td></td></tr><tr><td>KA</td><td>10</td><td>5633929</td><td>1997-05-27</td><td>Kaliski, Jr., Burton S.</td><td></td><td></td><td></td></tr><tr><td>KA</td><td>11</td><td>5668971</td><td>1997-11-16</td><td>Neufeld, E. D.</td><td></td><td></td><td></td></tr><tr><td>KA</td><td>12</td><td>5684948</td><td>1997-11-04</td><td>Johnson, James S., et al.</td><td></td><td></td><td></td></tr></tbody></table>			init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass	KA	1	3699532	1972-10-17	Schaffer, Harry G., et al.				KA	2	4207609	1980-06-10	Luiz, Fernando A., et al.				KA	3	4319233	1982-03-09	Matsuoka, Michihiro , et al.				KA	4	4403283	1983-09-06	Myntti, Jon N., et al.				KA	5	4419724	1983-12-06	Branigin, Michael H., et al.				KA	6	5237616	1993-08-17	Abraham, Dennis G., et al.				KA	7	5287363	1994-02-15	Wolf, Paul I., et al.				KA	8	5560013	1996-09-24	Scalzi, Casper A., et al.				KA	9	5604805	1997-02-18	Brands, Stefanus A.				KA	10	5633929	1997-05-27	Kaliski, Jr., Burton S.				KA	11	5668971	1997-11-16	Neufeld, E. D.				KA	12	5684948	1997-11-04	Johnson, James S., et al.			
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Examiner Name	Date
<i>[Signature]</i>	2/02/06



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

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Title of Invention	Platform and Method for Generating and Utilizing a Protected Audit Log						
<div>Application Number: 09/668610</div> <div>Confirmation Number: 2283</div> <div>First Named Applicant: Carl Ellison</div> <div>Attorney Docket Number: 42P08104X</div> <div>Art Unit: Unknown</div> <div>Examiner: Unknown Unknown</div> <div>Search string: (3996449 or 4162536 or 4276594 or 4307447 or 4430709 or 4802084 or 4975836 or 5187802 or 5230069 or 5295251 or 5319760 or 5361375 or 5469557 or 5506975 or 5555385 or 5555414 or 5564040 or 5574936 or 5582717 or 5956408 or 5978475 or 6044478 or 6088262 or 6173417 or 6175924 or 6188257 or 6275933 or 6282650 or 6378068),pn.</div> <div></div> <div>RECEIVED APR 02 2004 Technology Center 2100</div>							
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Note: Applicant is not required to submit a paper copy of cited US Patent Documents							
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
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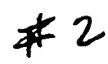
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<i>Paul Albrecht</i>	2/02/06

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Sheet	1	of	2
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Complete if Known

Application Number	09/668,610
Filing Date	September 22, 2000
First Named Inventor	Carl M. Ellison
Group Art Unit	2131
Examiner Name	Unassigned
Attorney Docket Number	042390.P8104X

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Exam. Initials	Document Number	Date	Name	Class	Sub-class	Filing Date (if appropriate)
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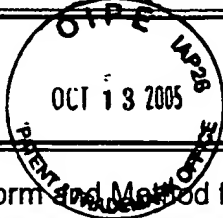
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B. J. [Signature]	4/13/04

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Title of Invention Platform and Method for Generating and Utilizing a Protected Audit Log

Submission Type: Information Disclosure Statement

Application Number: 09/668610

09/668610

EFS ID: 52396

Server Response:

Confirmation Code	Message
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ICON1	2283
ISYS5	Filename= N/A BusinessRule= Validation System/Function Call Information. #Supporting Msg:Server unable to validate the Confirmaton/Application numbers at this time. They will be checked by PTO personnel later.

First Named Applicant: Carl Ellison

Attorney Docket Number: 42P08104X

Timestamp: 2003-12-16 17:08:41 EDT

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Attorney Docket Number: 42P08104X

Art Unit: Unknown

Examiner: Unknown Unknown

Search string: (4319323 or 4759064 or 4795893 or 5007082 or 5293424 or 5317705 or 5459867 or 5504922 or 5606617 or 5901225 or 5970147 or 6061794 or 6092095 or 6115816 or 6212635 or 6222923 or 6252650 or 6269392 or 6330670 or 6357004 or 6363485 or 6374317 or 6378072 or 6389537 or 6412035 or 6421702 or 6445797 or 6463535 or 6463537 or 6507904 or 6535988 or 6557104 or 6633963 or 6633981).pn.

09/668610

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
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KA	2	4759064	1988-07-19	Chaum,	/	/	/
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KA	32	6557104	2003-04-29	Vu, Son T., et al.			
KA	33	6633963	2003-10-14	Ellison, Carl M., et al.			
KA	34	6633981	2003-10-14	Davis, Derek L.			

Remarks

Note: Remarks are not for responding to an office action.

Applicants, in accordance with their duty of disclosure under 37 CFR 1.56 and in accordance with 37 CFR 1.97(b)(3), hereby submit this Electronic Information Disclosure Statement citing U.S. Patent Documents for consideration by the Examiner. Pursuant to 37 CFR 1.97, the submission of this Electronic Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability. This Electronic Information Disclosure Statement is being filed prior to receipt of a first office action. Pursuant to 37 CFR 1.97(b), no fee should be required for the filing of this Electronic Information Disclosure Statement. In the event it is determined that a fee is due, please charge the fee to Deposit Account 02-2666. Applicants respectfully request that the cited documents be considered and that the form be initialed by the Examiner to indicate such consideration and a copy thereof be returned to Applicants' attorney of record.

Signature

Examiner Name	Date
<i>David Abul</i>	1/24/06